



**HARDIN
COMPLIANCE
CONSULTING LLC®**

We Make Form CRS Easy!

The Form CRS deadline is coming up fast and Hardin Compliance Consulting has got you covered. The new Hardin Form CRS Solution provides a full-service solution to help you confidently meet your SEC obligations. Our service includes:



Drafting the Form CRS relationship summary



Providing procedures for your compliance manual addressing



Answering your questions to ensure accurate and complete disclosure

- Filing and disclosure requirements

- Maintaining required books & records



Advising on delivery and filing requirements

Don't spend countless hours reading the rules, watching webinars and struggling to create a draft of Form CRS when we've done the work for you. Contact us at 724-935-6770, or send us an email at contact@hardincompliance.com

Want to learn more? Check out our website for more Form CRS resources:

- Standards of Conduct for Broker-Dealers & Investment Advisers
- Form CRS Relationship Summary
- SEC Adopts Broker-Dealer Best Interest Standard, Approves Form CRS and Explains Advisers' Fiduciary Duty; GIPS 2020 Standards Finalized; Epic Ethics Fail by Large Auditing Firm: Regulatory Update for July 2019
- States Challenge Reg-BI, Into the Weeds on Form CRS, NY Updates Privacy Laws, Share Class Selection Violations Reach Private Funds and FINRA Arbitration Puzzle – Custodian Liable for Unaffiliated Adviser Conduct: Regulatory Update for October 2019